

# ***Inclusive $\tau$ decay analysis with lattice HVPs***

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# outline

- **Introduction**

**Inclusive tau decay experiment**

**Finite energy sum rule and  $|V_{us}|$  determination**

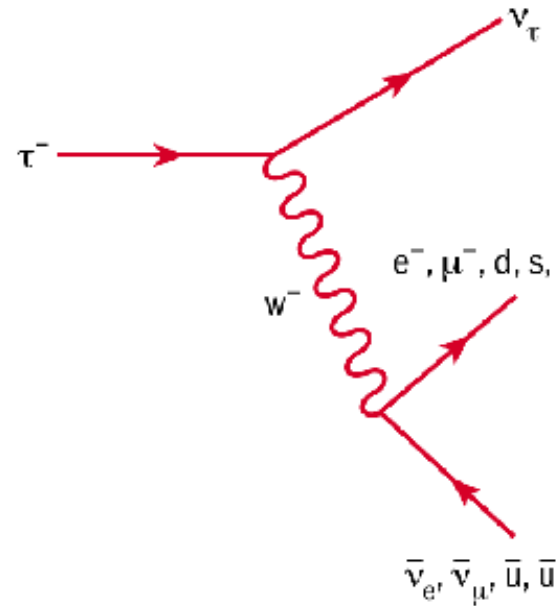
- **Lattice HVPs and tau decay**

- **Result of  $|V_{us}|$**

- **Summary**

# Intruduction

- Lattice QCD calculation can apply to the exclusive modes:  
 $f\pi, fK: K \rightarrow \pi$
- How about inclusive hadronic decay?  
We use  $\tau$  inclusive Kaon decay experiments  $\rightarrow |V_{us}|$  determination
- Using optical theorem and dispersion relation,  
 $\tau$  decay differential cross section  
( $\tau$  hadronic decay/ $\tau$  leptonic decay)  
and the hadronic vacuum polarization  
(HVP) function are related.  
 $\rightarrow$  We can use lattice HVP calculations.



# Optical theorem

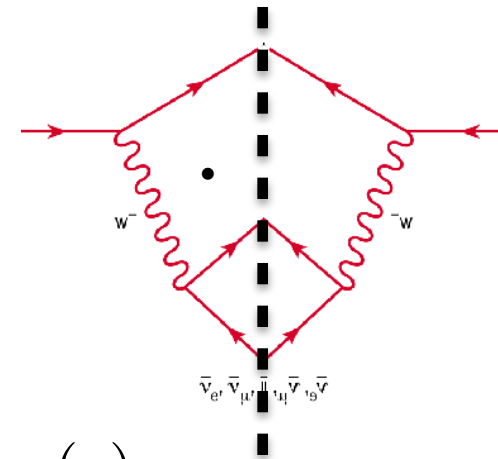
- From unitarity of S matrix, invariant matrix elements are related to the total scattering cross section  $\sigma$

$$\text{Im}\mathcal{M}(k_1 k_2 \rightarrow k_1 k_2) \propto \sum_X d\Pi_X |\mathcal{M}(k_1 k_2 \rightarrow X)|^2 = \sigma_{\text{tot}}(k_1 k_2 \rightarrow \text{any})$$

- Using analytic of  $\mathcal{M}(s)$  for  $s = (k_1 + k_2)^2$  and above multi particles threshold  $s > s_{th}$  a branch cut is formed, then

$$2i\text{Im}\mathcal{M}(s + i\epsilon) = \mathcal{M}(s + i\epsilon) - \mathcal{M}(s - i\epsilon) = \sigma_{\text{tot}}(s)$$

- $\text{Im } \mathcal{M}$  for  $s_0 < s < s_{th}$  is read off from experimental result.



# Tau decay experiment

$\tau \rightarrow \nu + \text{hadrons}$  decay through V-A current (weak decay)

For the final states with strangeness -1,

R ratio(hadron/lepton) is given in terms of CKM matrix elements  $V_{us}$  and hadron vacuum polarization functions,

$$R_{ij;V/A} \equiv \frac{\Gamma[\tau^- \rightarrow \nu_\tau H_{ij;V/A}(\gamma)]}{\Gamma[\tau^- \rightarrow \nu_\tau e^- \bar{\nu}_e(\gamma)]}$$

$$\frac{dR_{us;V/A}}{ds} = \frac{12\pi^2 |V_{us}|^2 S_{EW}}{m_\tau^2} \left(1 - \frac{s}{m_\tau^2}\right)^2 \left[ \left(1 + 2\frac{s}{m_\tau^2}\right) \text{Im}\Pi_{us;V/A}^1(s) + \text{Im}\Pi_{us;V/A}^0(s) \right]$$

The spin 0, and 1, hadronic vacuum polarization, V/A current-current

$$\begin{aligned} \Pi_{ij;V/A}^{(\mu\nu)}(q^2) &\equiv i \int d^4x e^{iqx} \langle 0 | T \left( J_{ij;V/A}^\mu(x) J_{ij;V/A}^{\dagger\nu}(0) \right) | 0 \rangle \\ &= (q^\mu q^\nu - q^2 g^{\mu\nu}) \Pi_{ij;V/A}^{(1)}(Q^2) + q_\mu q_\nu \Pi_{ij;V/A}^{(0)}(Q^2) \end{aligned}$$

Previous study

$|V_{us}|$  determination  
from finite energy sum rule

# Finite energy sum rule

- The finite energy sum rule (FESR)

$$\int_0^{s_0} \omega(s) \rho(s) ds = -\frac{1}{2\pi i} \oint_{|s|=s_0} \omega(s) \Pi(s) ds,$$

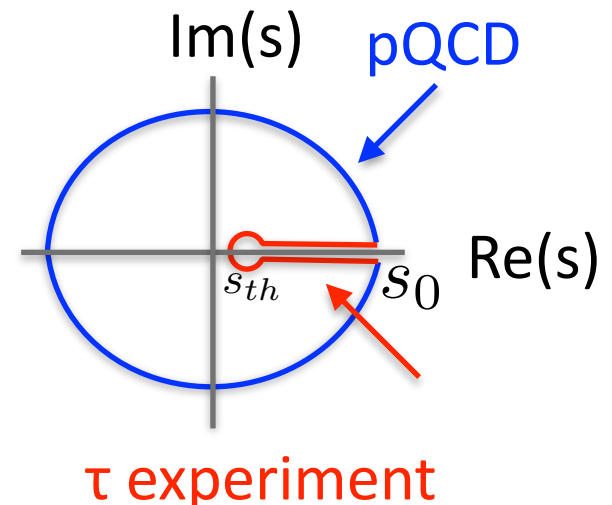
$s_0$  ... finite energy,

$w(s)$  is an arbitrary **analytic** function with **polynomial** in  $s$ .

- LHS ...  $\rho(s)$  is related to the experimental  $\tau$  inclusive decays

$$\begin{aligned} \frac{dR_{us;V/A}}{ds} &= \frac{12\pi^2 |V_{us}|^2 S_{EW}}{m_\tau^2} (1 - y_\tau)^2 \\ &\times \left[ (1 + 2y_\tau \rho_{us;V/A}^{(0+1)} - 2y_\tau \rho_{us;V/A}^0) \right] \end{aligned}$$

- RHS ... Analytic calculation  
with perturbative QCD (pQCD) and OPE  
( $s_0$  should be large enough)



# |V<sub>us</sub>| determination from FESR

[E. Gamiz, et al. PRL 94, 011803, 2005]

- **Inclusive**  $\tau$  decay rates with  $ud$  and  $s$  quark final states,

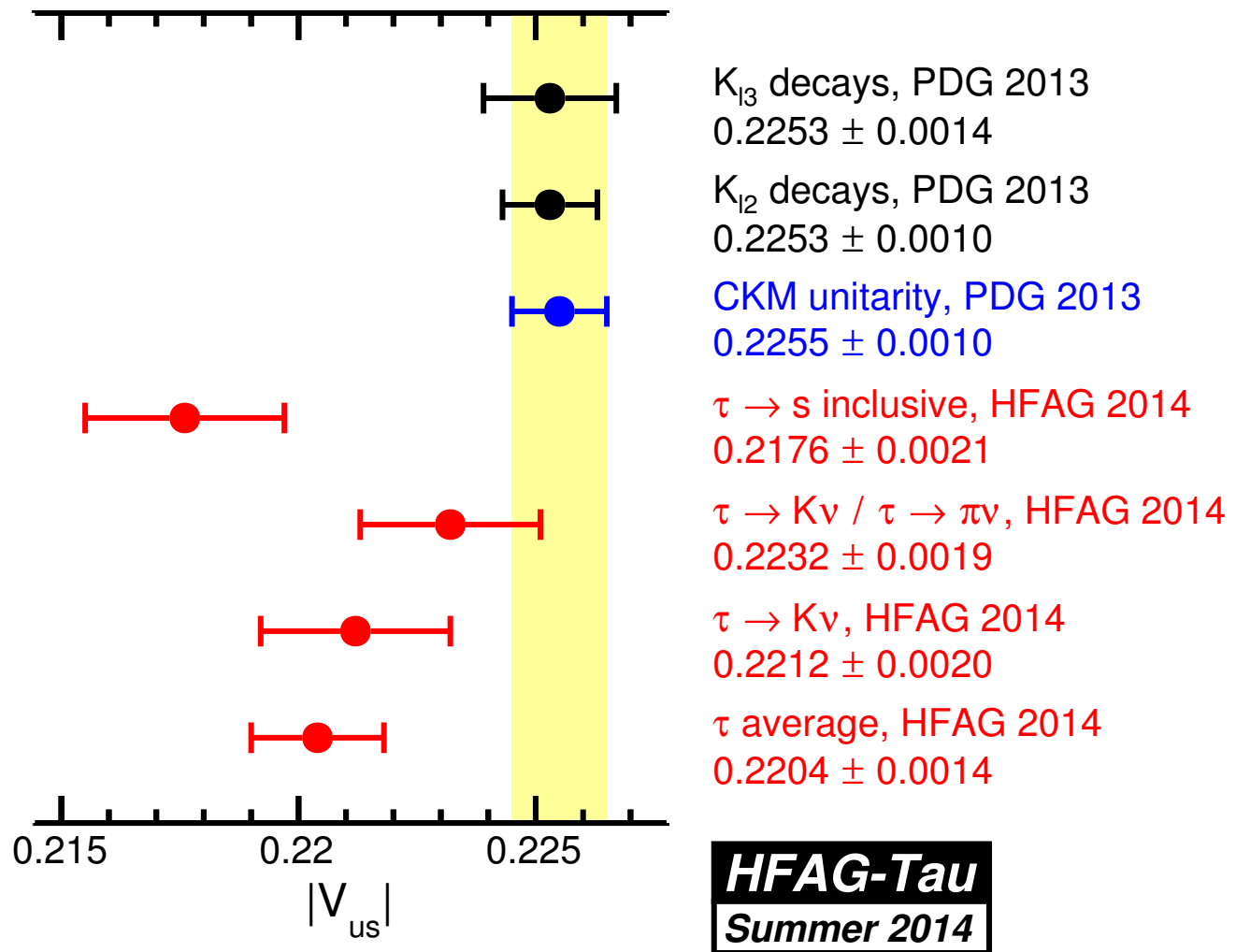
$$R_{\tau}^{kl} \equiv \int_0^{M_{\tau}^2} ds \left(1 - \frac{s}{M_{\tau}^2}\right)^k \left(\frac{s}{M_{\tau}^2}\right)^l \frac{dR_{\tau}}{ds} = R_{\tau,NS}^{kl} + R_{\tau,S}^{kl},$$

- Taking the differences,  $\delta R \equiv \frac{R_{NS}}{|V_{ud}|^2} - \frac{R_S}{|V_{us}|^2}$
- Use perturbative OPE with  $D > 2$ , since these observables vanish in the SU(3) symmetry limit.

Many theoretical uncertainties may drop out.

- |V<sub>us</sub>| is 3+ $\sigma$  lower than Kl3, Kl2 determinations.





- $|V_{us}|$  from inclusive  $\tau$  decay  $\rightarrow$  3  $\sigma$  deviation from CKM unitarity
- pQCD and high order OPE  $\rightarrow$  problematic uncertainties?

# This work

- So far we do not know if  $3\sigma$  discrepancy may be explained by new physics beyond the SM.
- We would like to propose an alternative method to calculate  $|V_{us}|$  from the inclusive  $\tau$  decay.
- By combining both the lattice data and pQCD, we could expect more precise determination of  $|V_{us}|$ .
- As a result, pQCD uncertainty can be suppressed.
- We aim to elucidate a possible origin of the so-called  $|V_{us}|$  puzzle.

# Our strategy

- Using a different type of the weight function  $w(s)$  which has residues

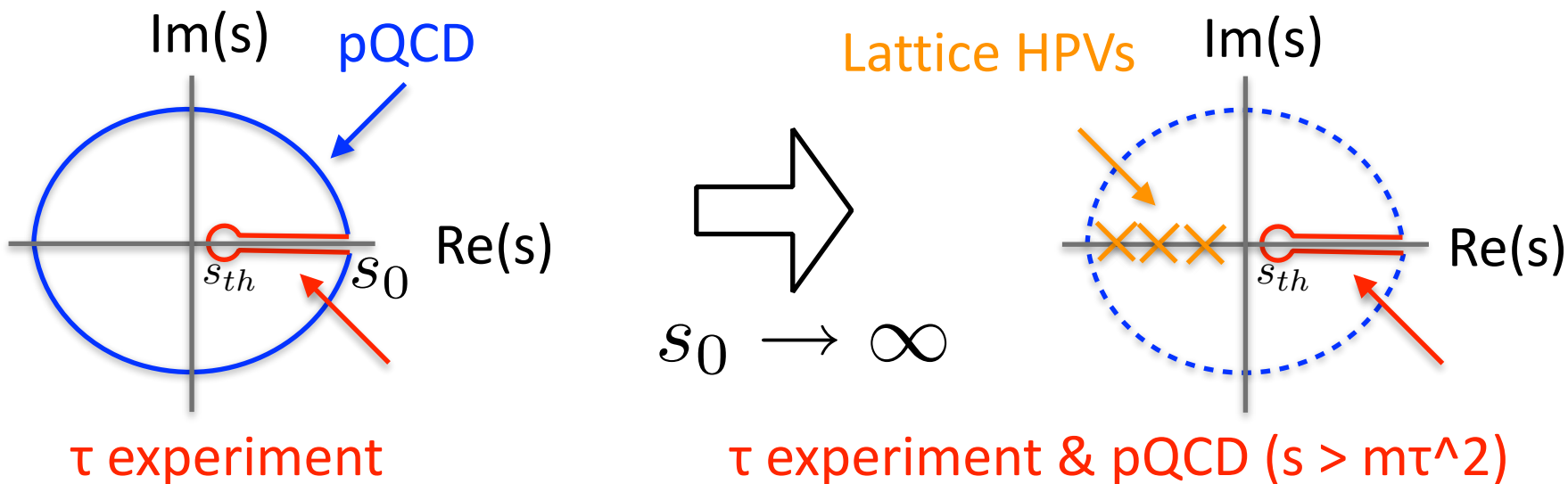
$$\omega(s) = \frac{1}{(s+Q_1^2)(s+Q_2^2)\cdots(s+Q_N^2)}$$

and taking  $s_0 \rightarrow \infty$ ,

$$\int_0^\infty \rho(s)\omega(s)ds = \sum_k^N \text{Res} \left( \Pi(-Q_k^2)\omega(-Q_k^2) \right)$$

LHS ... Experimental data and pQCD

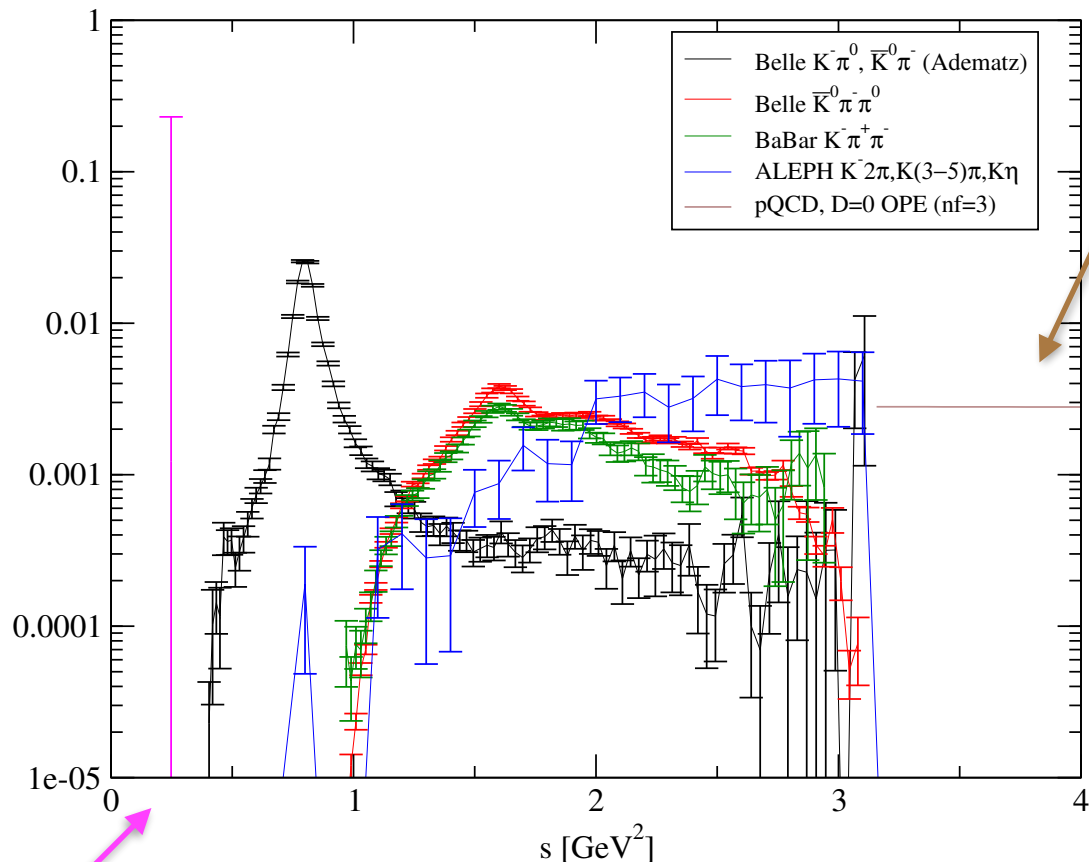
RHS ... Lattice HPVs  $\Pi(Q)$  at Euclidean momentum region



# $\tau$ inclusive decay experiment

$$|V_{us}|^2 \left[ \left( 1 + 2 \frac{s}{m_\tau^2} \right) \text{Im}\Pi^1(s) + \text{Im}\Pi^0(s) \right]$$

To compare with experiments,  
a conventional value of  $|V_{us}|=0.2253$  is used



For K pole, we assume a delta function form with kaon decay experiments,

$$\delta(s - m_k^2) 0.0012299(46)$$

# Weight function

- we use pole-type weight function;

$$\omega(s) = \prod_k^N \frac{1}{(s + Q_k^2)}, \quad (Q_k^2 > 0)$$

(Number of poles: N)

For convergence of contour integral,

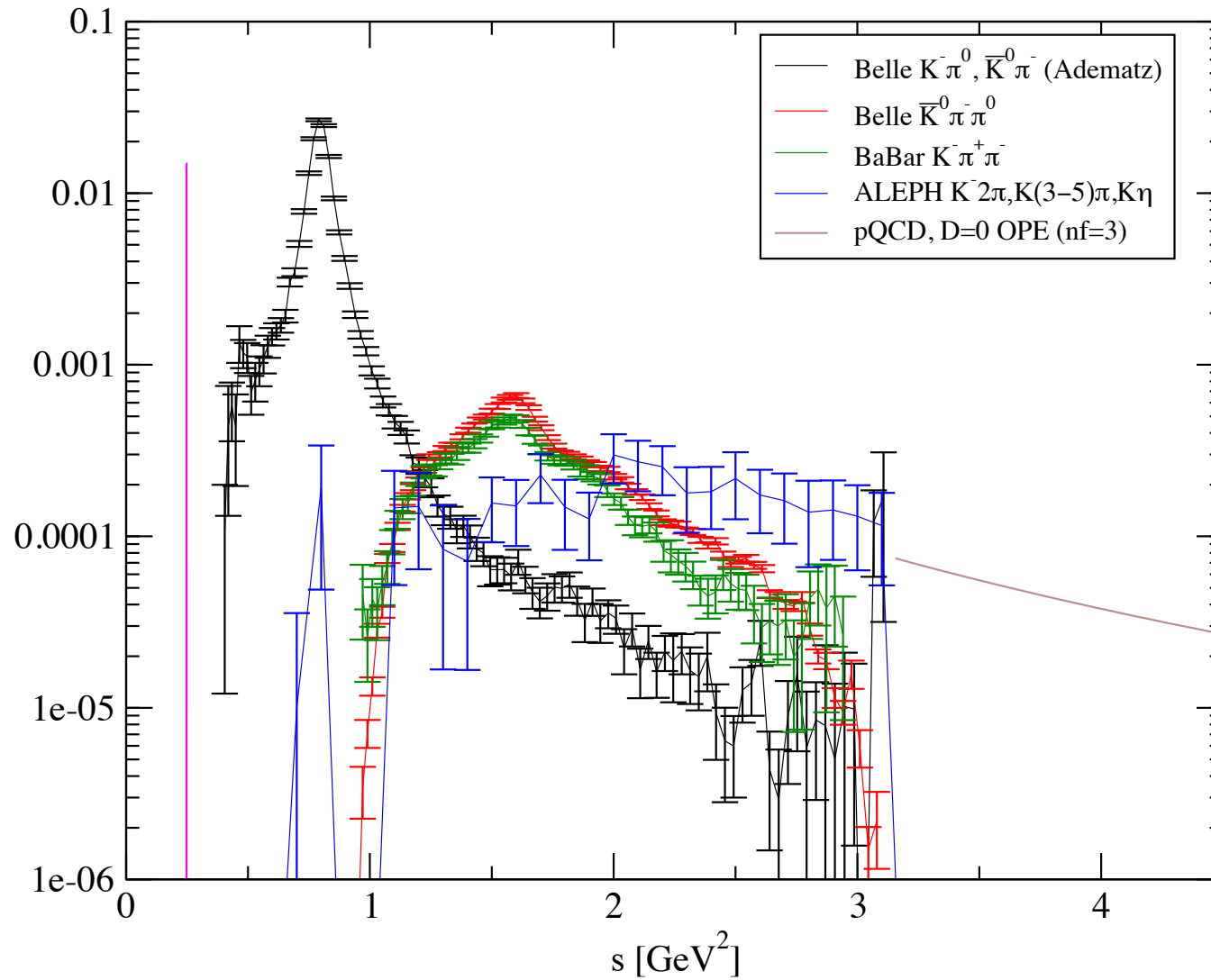
a weight function with  $N \geq 3$  is required, which suppresses

- large error from higher multi hadron final states at  $s > mk^2$
- contributions from pQCD with OPE at  $s > m\tau^2$

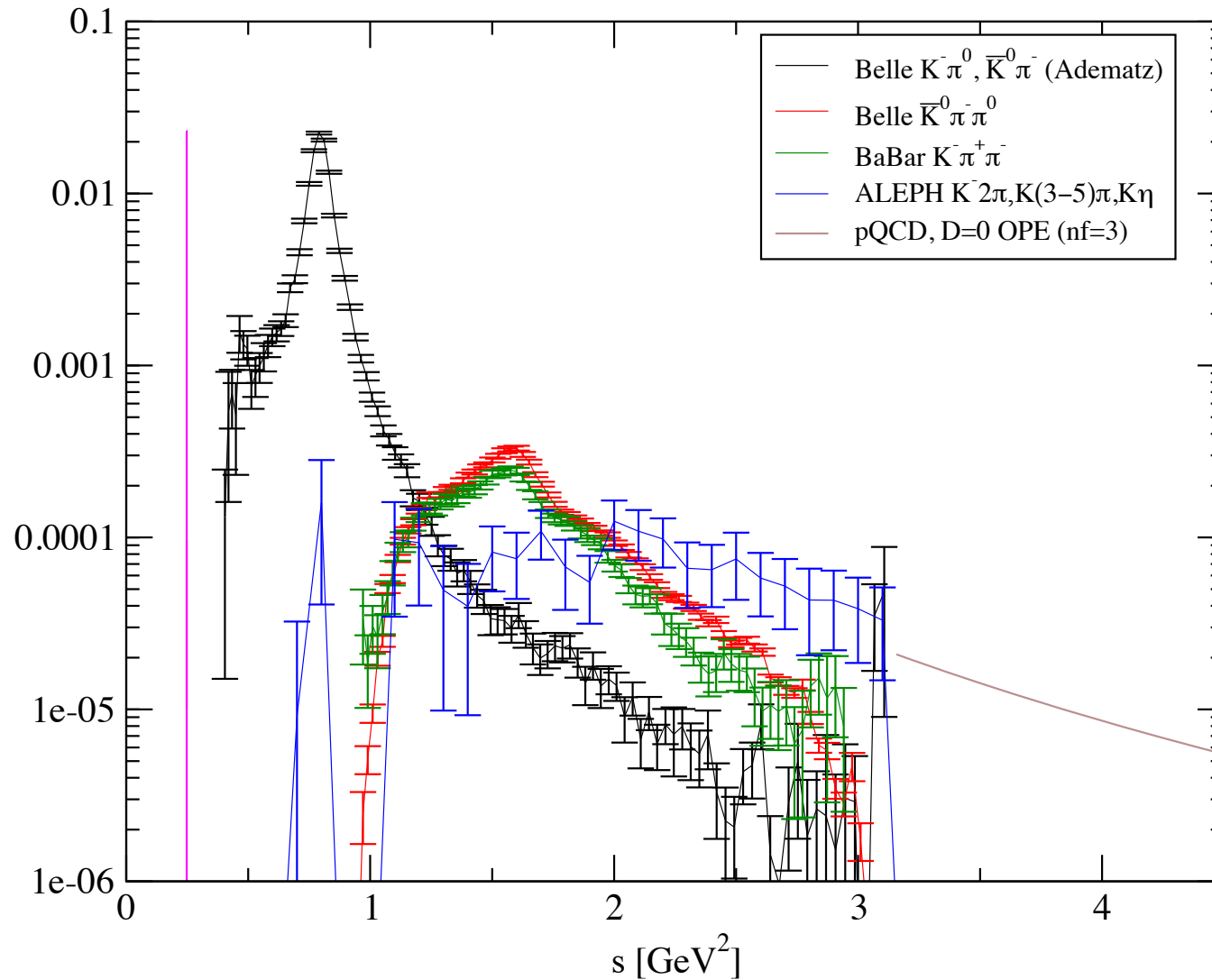
For lattice HVPs,

$Q^2$  values should not be too small to avoid finite size(time) effect,  
and not to be large to avoid large discretization error.

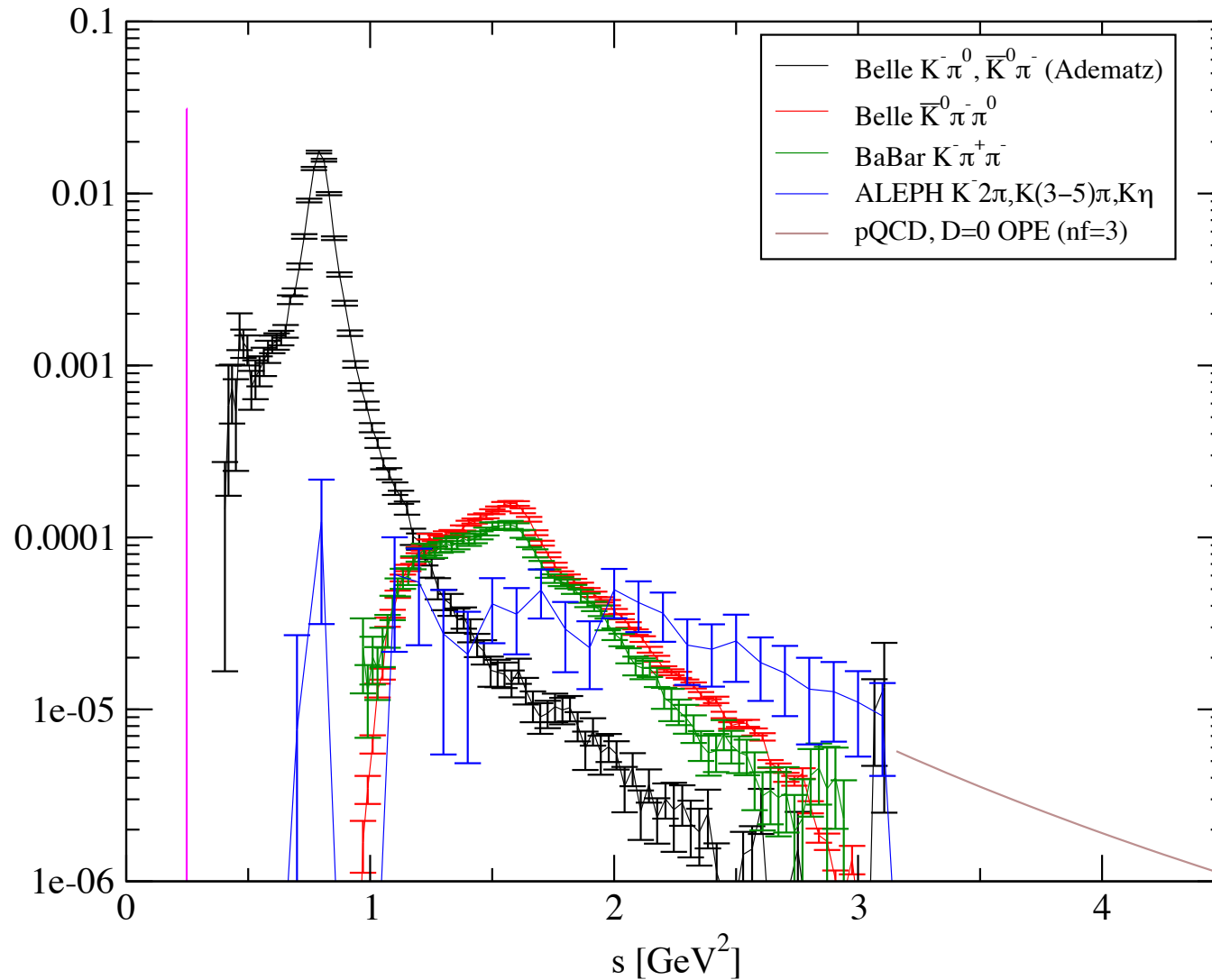
- example:  $N=3$ ,  $\{Q_1^2, Q_2^2, Q_3^2\} = \{0.1, 0.2, 0.3\}$



- example:  $N=4$ ,  $\{Q_1^2, Q_2^2, Q_3^2, Q_4^2\} = \{0.1, 0.2, 0.3, 0.4\}$



- example:  $N=5$ ,  $\{Q_1^2, Q_2^2, Q_3^2, Q_4^2, Q_5^2\} = \{0.1, 0.2, 0.3, 0.4, 0.5\}$





# Lattice calculation

# Lattice HVPs

HVPs from V/A current-current correlation functions with u s flavors,  
we consider zero-spatial momentum

$$\Pi_{\mu\nu}^{V/A}(t) = \frac{1}{V} \sum_{\vec{x}} \langle J_{\mu}^{V/A}(\vec{x}, t) J_{\nu}^{V/A}(\vec{x}, 0) \rangle$$

Spin =1, 0 components can be obtained in momentum space as

$$\Pi_{\mu\nu}(q) = (q^2 \delta_{\mu\nu} - q_{\mu} q_{\nu}) \Pi^{(1)}(q^2) + q_{\mu} q_{\nu} \Pi^{(0)}(q^2),$$

On the lattice, those with subtraction of unphysical zero-mode can be obtained by discrete Fourier transformation,

(direct double subtraction, sine cardinal Fourier transformation.)

$$\hat{\Pi}(q^2) = \sum_{t=-T/2}^{t=T/2-1} \left( \frac{e^{i\tilde{q}t} - 1}{q^2} + \frac{t^2}{2} \right) \Pi(t)$$

$$\tilde{q}_{\mu} = 2 \sin(q_{\mu}/2)$$

# lattice QCD ensemble and parameters

2+1 flavor domain-wall fermion gauge ensemble generated by RBC-UKQCD

Vol.	$a^{-1}[\text{GeV}]$	$m_{\pi}[\text{GeV}]$	$m_K[\text{GeV}]$	stat.
$24^3 \times 64$	1.785(5)	0.340	0.533	450
		0.340	0.593	450
$32^3 \times 64$	2.383(9)	0.303	0.537	372
		0.303	0.579	372
		0.360	0.554	207
		0.360	0.596	207
• $48^3 \times 96$	1.730(4)	0.139	0.499	4224
		0.135 <sup>†</sup>	0.4937 <sup>†</sup>	5 PQ-correction, (4224)
$64^3 \times 128$	2.359(7)	0.139	0.508	2560

- Our main analysis is done on L=48 and 64, at almost physical quark mass region, L=5 fm.
- **PQ-correction**: partially quench (PQ) corrected HVP data at the physical point (†)
- L=24 and 32 have heavier kaon masses, which will be used to see general tendency.

# Lattice HVPs and inclusive $\tau$ decay

$$\Pi(s) \equiv \left[ \left( 1 + 2 \frac{s}{m_\tau^2} \right) \text{Im}\Pi^1(s) + \text{Im}\Pi^0(s) \right]$$

$$\sum_{k=1}^N \text{Res} \left( \omega(-Q_k^2) \Pi_{lat}(-Q_k^2) \right)$$

$$|V_{us}|^2 \int_0^\infty ds \omega(s) \Pi(s)$$

- $s < m_\tau^2$ , experimental data is used for spectrum integral.
- $s > m_\tau^2$ , we use  $D=0$ , OPE result. For comparison with experiments,
- a conventional value of  $|V_{us}|=0.2253$  is used.

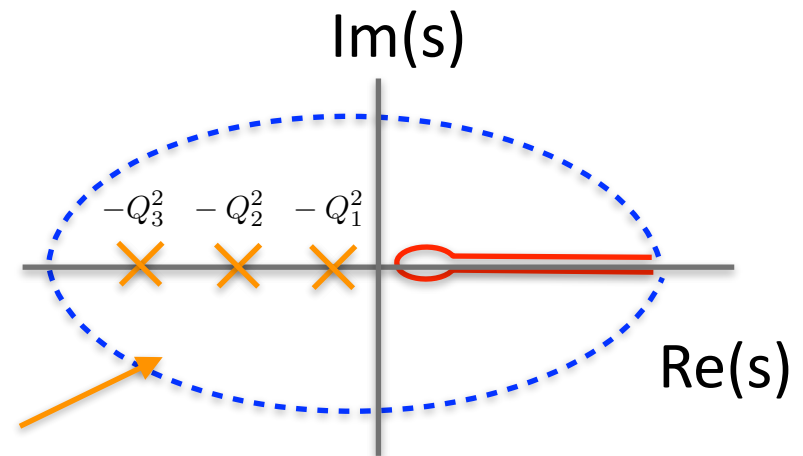
# A systematic study of weight function dependence

$$\omega(s) = \prod_k^N \frac{1}{(s + Q_k^2)}, \quad (Q_k^2 > 0)$$

- $C$  (center value of weights),
- $\Delta$  (separation of the pole position),
- $N$  (the number of the poles).

$$\{Q_1^2, Q_2^2, \dots, Q_N^2\} = \{C - (N/2 + 1)\Delta, \dots, C - \Delta, C, C + \Delta, \dots, C + (N/2 + 1)\Delta\}$$

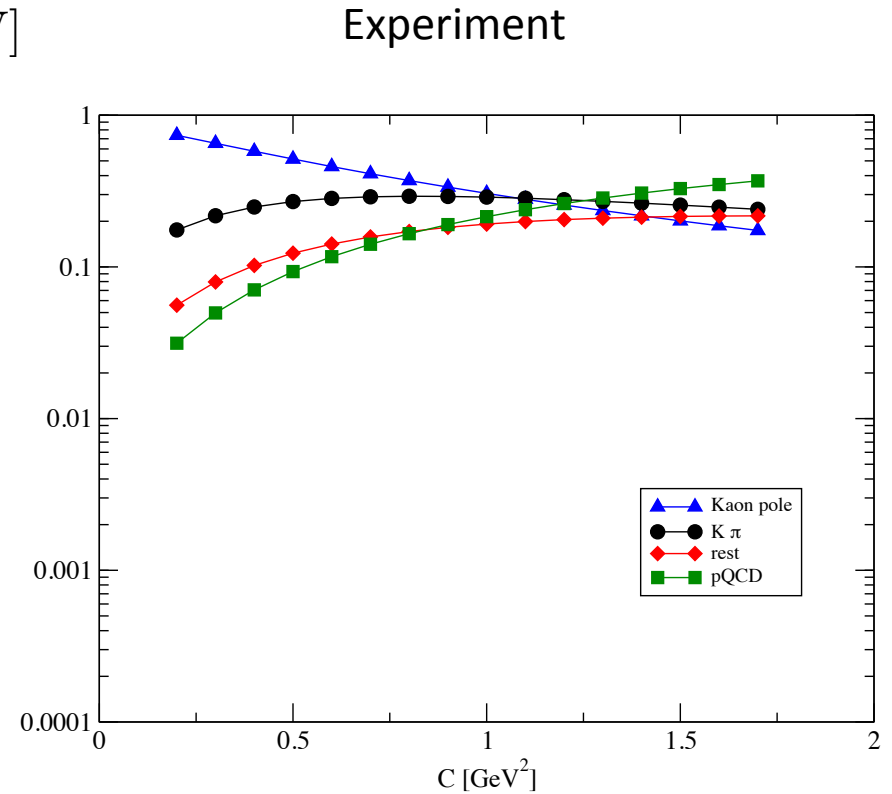
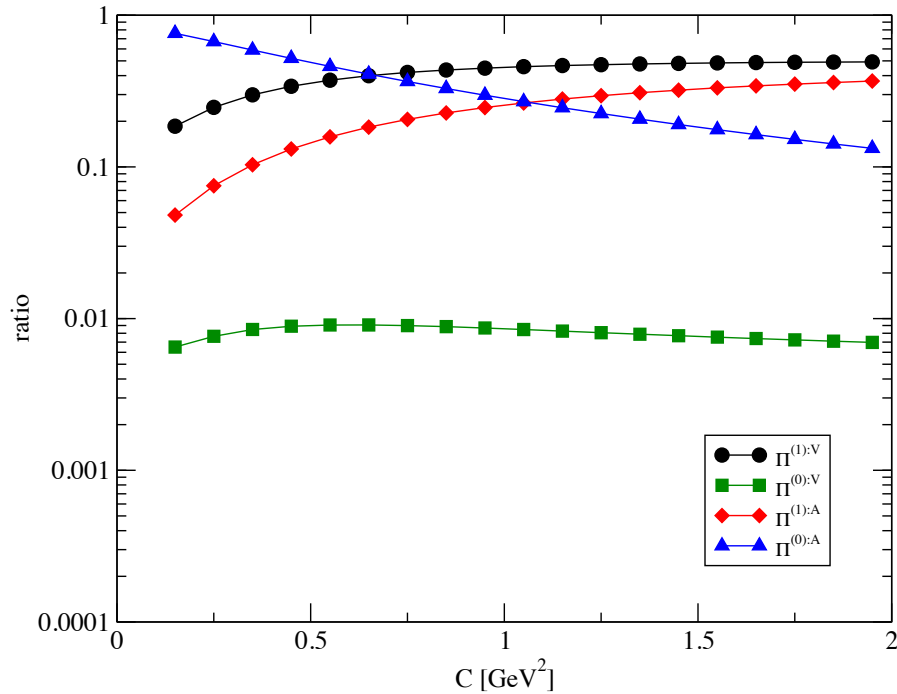
$$C = \frac{Q_1^2 + Q_2^2 + \dots + Q_N^2}{N}$$



pole positions (N=3 case)

- $N=3$ ,  $\Delta=0.1$  [GeV<sup>2</sup>]

$$L = 48, \quad a^{-1} = 1.73[\text{GeV}], \quad m_\pi = 0.139[\text{GeV}]$$

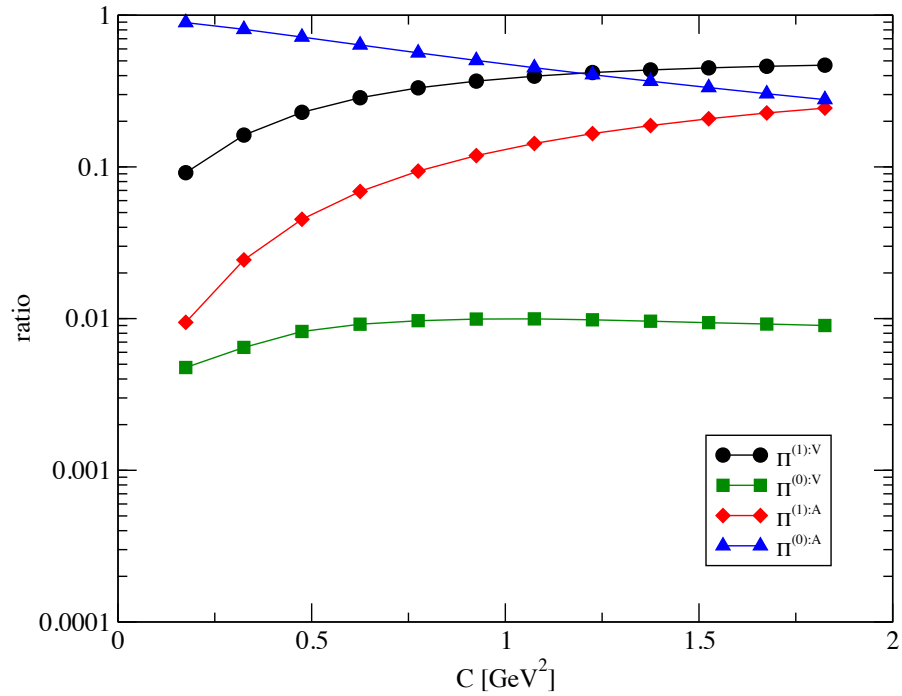


Left : Ratios of each contribution of V/A with spin=0, 1 to the total residue.  
(Lattice)

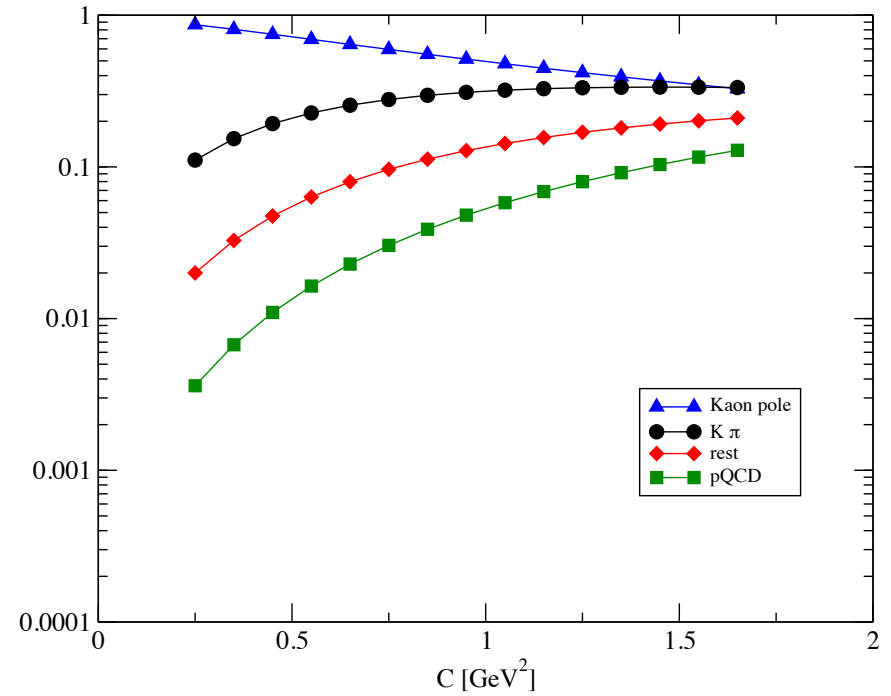
Right: Ratios of each decay modes to total cross section. (Experiments)  
rest : multi  $\pi$  channels,  $K \eta$

- $N=4$ ,  $\Delta=0.1$  [GeV<sup>2</sup>]

$L = 48$ ,  $a^{-1} = 1.73$ [GeV],  $m_\pi = 0.139$ [GeV]

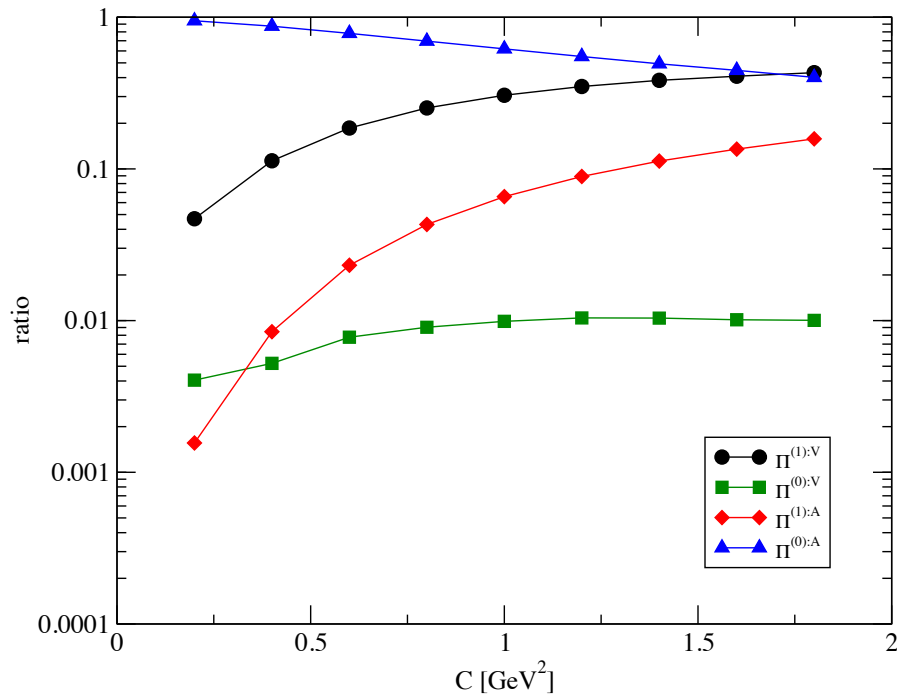


Experiment

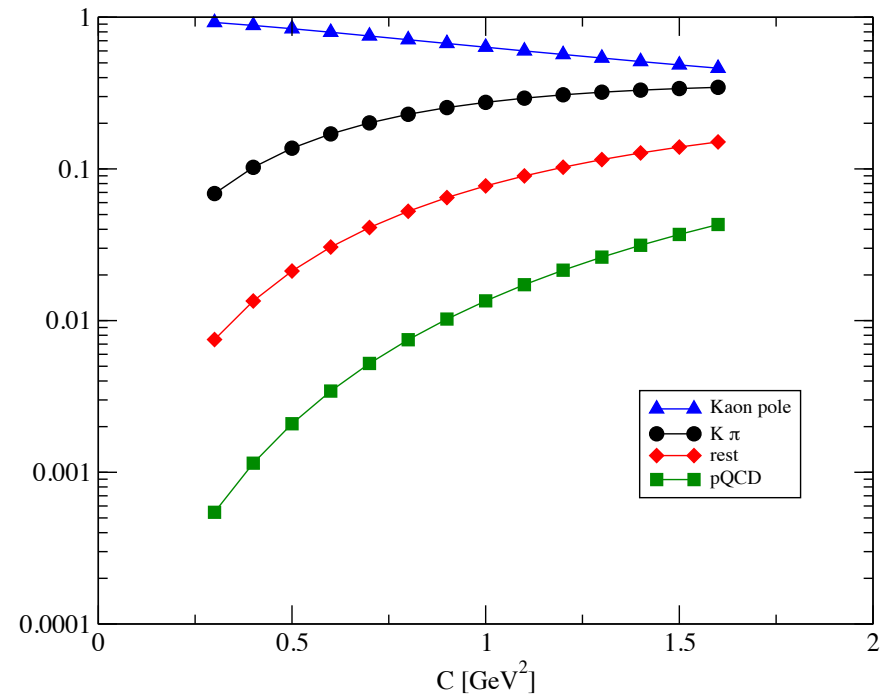


- $N=5$ ,  $\Delta=0.1$  [GeV<sup>2</sup>]

$$L = 48, \quad a^{-1} = 1.73[\text{GeV}], \quad m_\pi = 0.139[\text{GeV}]$$



Experiment



- For larger  $N$  with smaller  $Q^2$ , Kaon pole is the most dominant contribution.
- pQCD and rest modes are highly suppressed.



# $|V_{us}|$ from lattice HVPs

- $|V_{us}|$  can be determined from K pole channel only (exclusive mode).
- Since  $\tau \rightarrow K$  decay mode is dominated by axial spin = 0 channel, so we have

$$|V_{us}^{K\text{-pole}}| = \sqrt{\frac{\rho_{exp}^{K\text{-pole}}}{F_{lat}(\Pi^{(0):A})}}$$

- We can also determine  $|V_{us}|$  using all inclusive decay modes and lattice results;

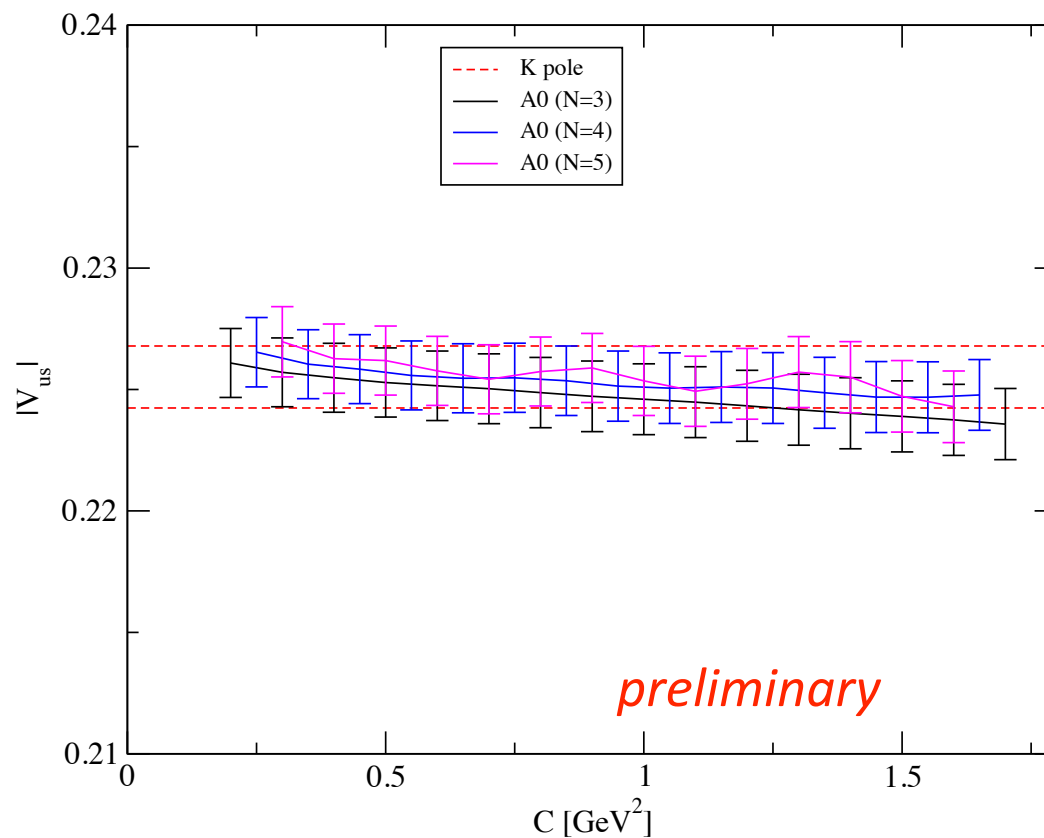
$$|V_{us}| = \sqrt{\frac{\rho_{exp}}{F_{lat} - \rho_{pQCD}}}$$

$$\rho_{exp} = |V_{us}|^2 \int_0^{m_\tau^2} ds \omega(s) \Pi(s) \quad \rho_{pQCD} = \int_{m_\tau^2}^{\infty} ds \omega(s) \Pi_{OPE}(s)$$

$$F_{lat} = \sum_{k=1}^N \text{Res}(\omega(-Q_k^2)) \Pi_{lat}(-Q_k^2)$$

$$|V_{us}^{\text{K-pole}}| \quad \text{Result}$$

$|V_{us}^{K-\text{pole}}|$  from L=48 lattice at physical quark mass



N : number of poles

K pole: determined from  $f_K$  (K decay constant)

$|V_{us}|$  is universal and consistent with  $f_K$  determination (mild dependence of  $C$ ,  $N$ )

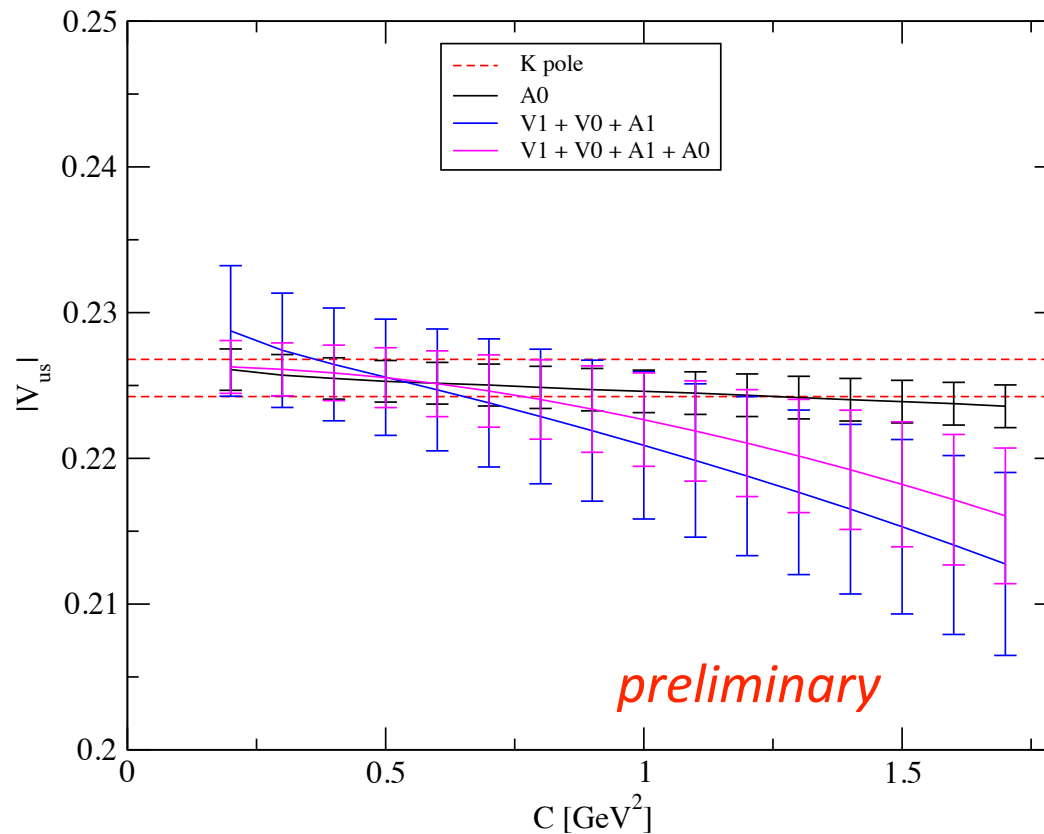
Our result suggests : A0 channels is dominated by K pole

(Excited mode contributions and lattice discretization error are small in this momentum region.)

# $|V_{us}|$ from other channels

- $A_0$  channel is dominated by K pole.
- How about other channels?
- Lattice HVPs for  $A_1, V_1, V_0$   $\leftrightarrow$  multi hadron states & pQCD

# $|V_{us}|$ : weight function with $N=3$



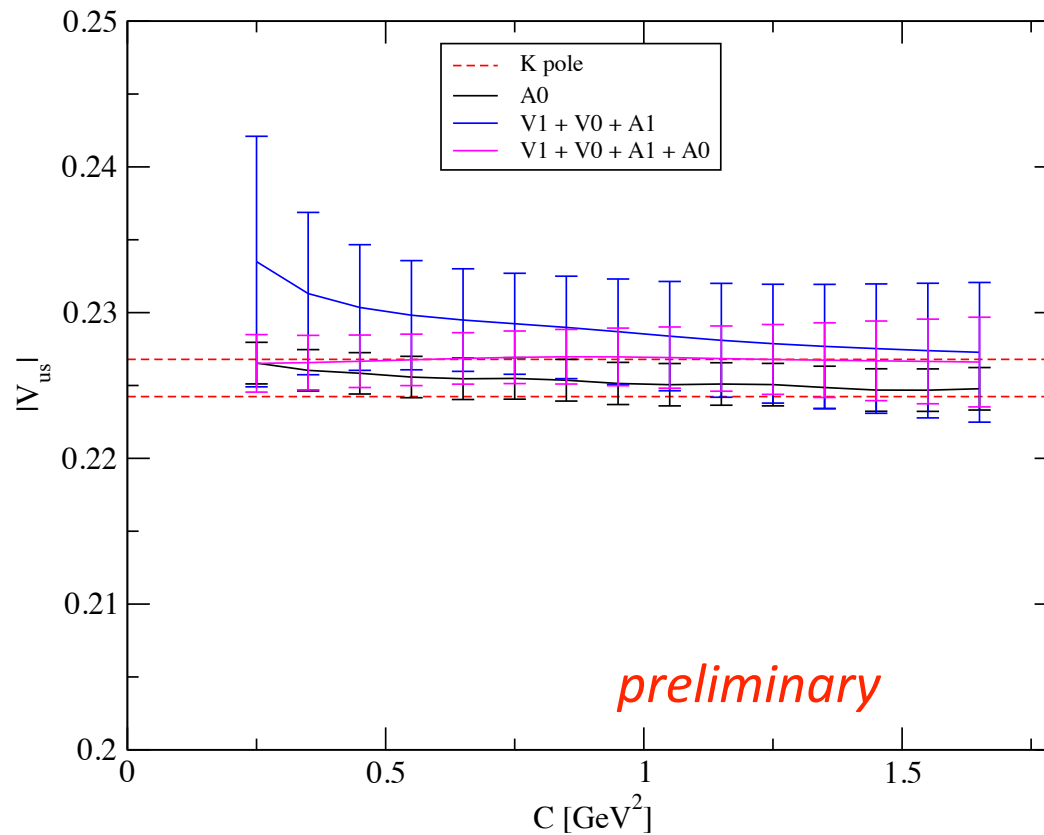
V1 + V0 + A1: Result in the continuum limit using  $L=48$  and  $L=64$  lattice data.

(We omit  $m_K$  and  $m_\pi$  mass correction, which are multi hadron states and less sensitive to the quark mass compared to single K state.

For larger  $C > 1$  region,  $|V_{us}|$  is different from K pole determination.

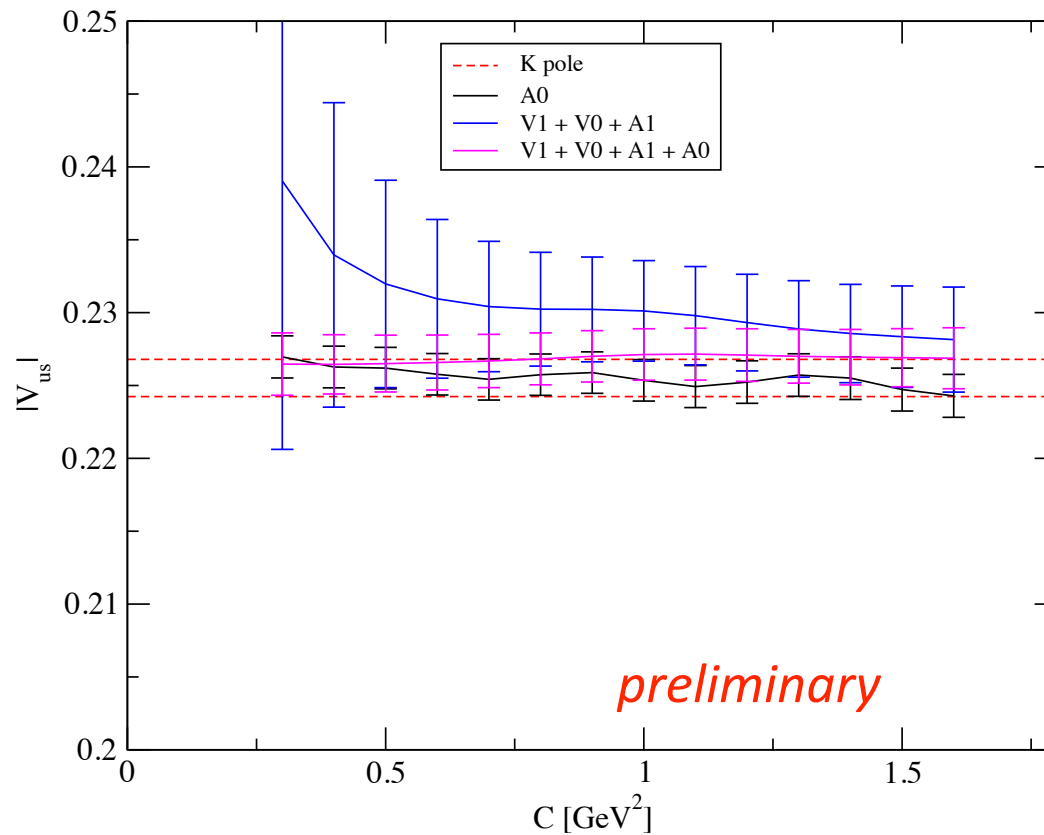
Is it due to large uncertainties from pQCD? **(Remember ratio analysis.)**

# $|V_{us}|$ : weight function with $N=4$



V1 + V0 + A1: consistent with K pole determination with larger error.  
Full result (V1 + V0 + A1+A0) is stable against the change of  $C$ .

# $|V_{us}|$ : weight function with N=5



The error becomes larger due to noisy signal of vector channels (multi hadron states).  
Full result is competitive with the result of K pole determination.

# Summary



# Summary

Precise determination of CKM matrix elements is very important.

We have demonstrated how the inclusive  $\tau$  decay experiments and the lattice observables can be related, from which we can determine the CKM matrix element  $|V_{us}|$ .

Thanks to the physical point lattice, we can obtain better signal from  $A_0$  channel, whose ground state is  $K$  which is most sensitive to the quark mass among four channels.

From  $A_0$  analysis, we obtain a universal value of  $|V_{us}|$ .

This result suggests that excited states contributions and discretization error are negligible for  $A_0$  channel.

We also found discrepancy between  $K$  pole determination and other channels in the case of  $N=3$ , where OPE becomes to dominantly contribute to total decay rate.

$N=4, 5$  the results are consistent with  $K$  pole determination, but with larger statistical error.

Several systematic uncertainties need to be investigated, e.g. quark mass effect near physical point, sea quark mass effect, perturbative OPE.

**Thank you**

**Backup**

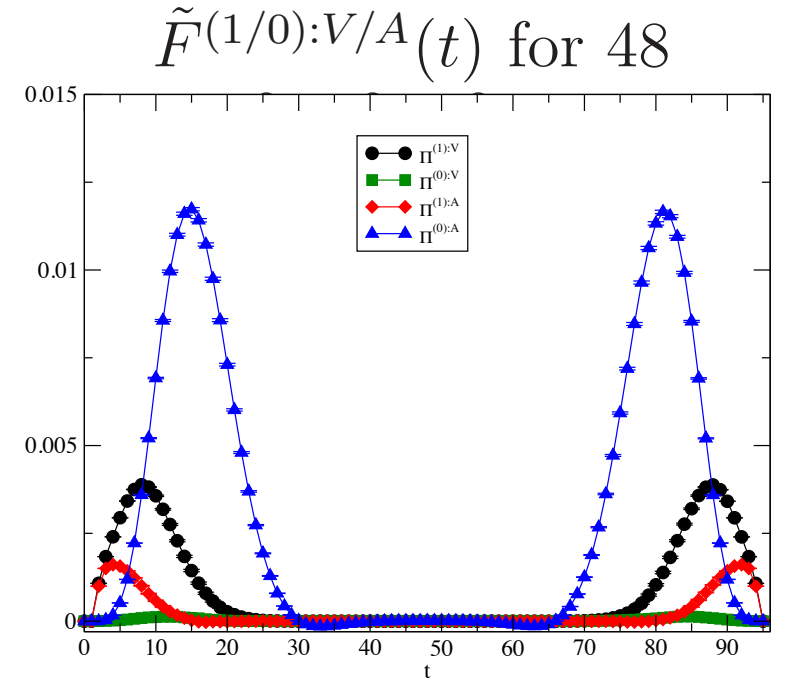
## Fourier decomposition of residue

$$\tilde{F}^{(1):V/A}(t) = \sum_{i=1}^N \left( \frac{e^{i\tilde{Q}_i^2 t} - 1}{Q_i^2} + \frac{t^2}{2} \right) \left( 1 - 2\frac{Q_i^2}{m_\tau^2} \right) \text{Res} \left( \omega(Q_i^2) \Pi^{(1):V/A}(Q_i^2) \right),$$

$$\tilde{F}^{(0):V/A}(t) = \sum_{i=1}^N \left( \frac{e^{i\tilde{Q}_i^2 t} - 1}{Q_i^2} + \frac{t^2}{2} \right) \text{Res} \left( \omega(Q_i^2) \Pi^{(0):V/A}(Q_i^2) \right).$$

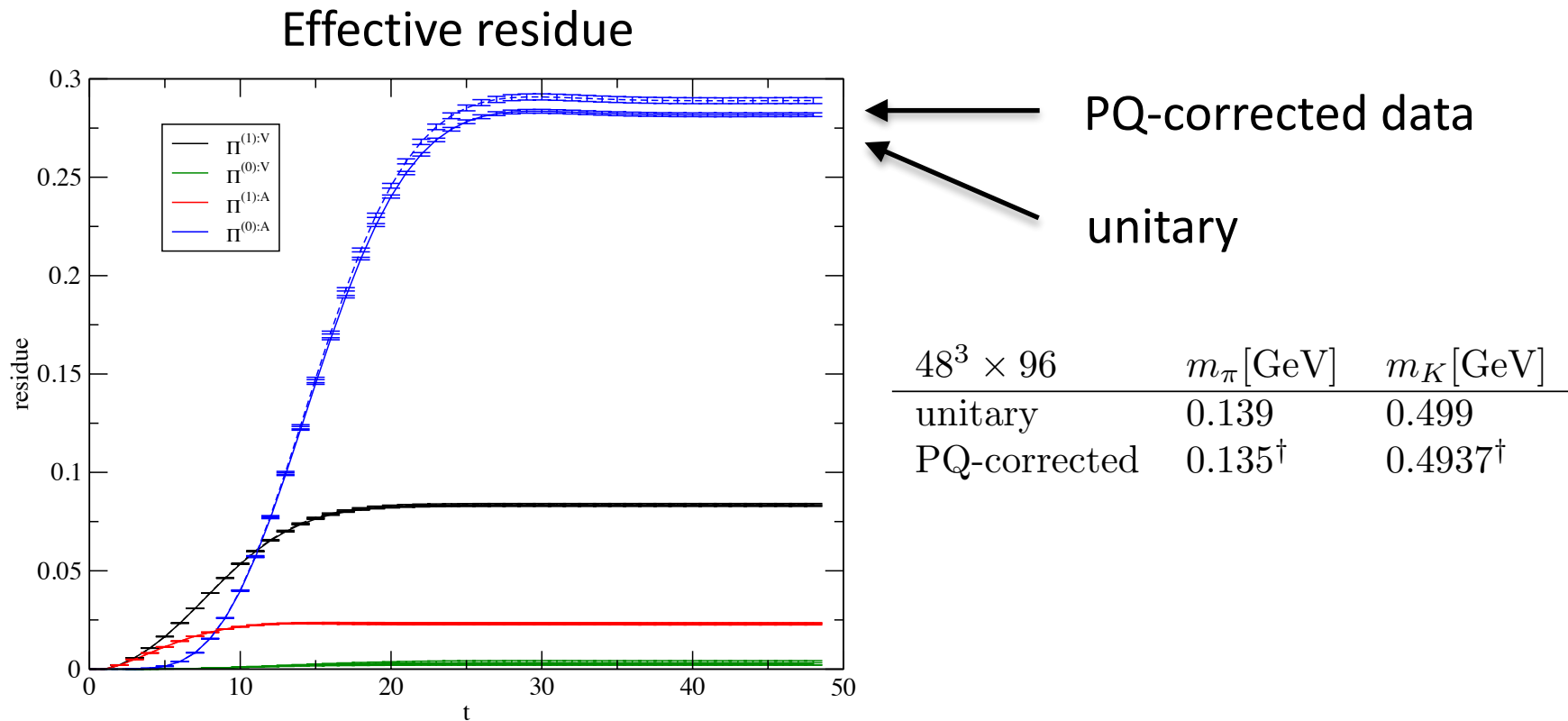
Total residue (t → T)

$$\tilde{G}^{(1/0):V/A}(t) = \sum_{l=-t}^t \tilde{F}^{(1/0):V/A}(l).$$



$N = 3$ , and  $(Q_1^2, Q_2^2, Q_3^2) = (0.1, 0.2, 0.3)$ .

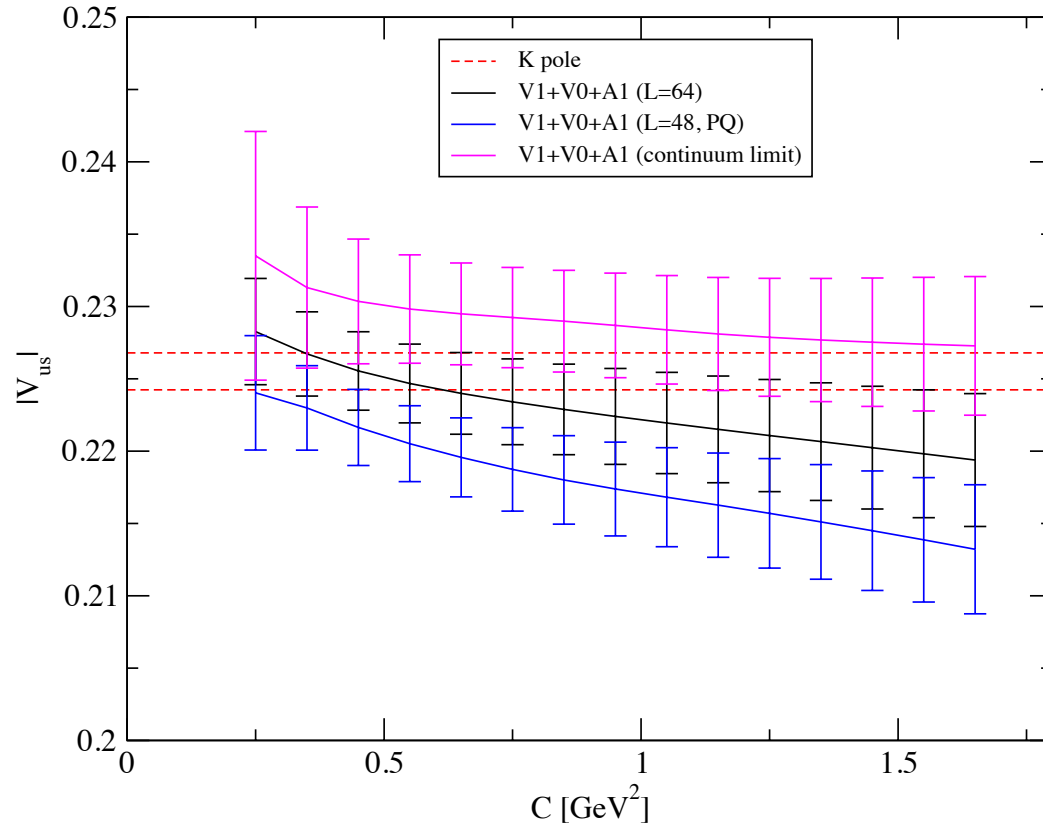
# Comparison of unitary and PQ-corrected data on L=48



$N = 3$ , and  $(Q_1^2, Q_2^2, Q_3^2) = (0.1, 0.2, 0.3)$ .

Only A0 has visible difference (Kaon),  
other channels are consistent with each other (quark mass effect  
is negligible for multi hadron states).

# Continuum limit of V1+V0+A1



vol.	$a^{-1}[GeV]$	$m_{\pi}[GeV]$	$m_K[GeV]$
$48^3 \times 96$	1.730(4)	0.135	0.4937
$64^3 \times 128$	2.359(7)	0.139	0.508

Continuum extrapolation by  $a^2$  linear fit using L=48 (PQ) and L=64.